

## **APPRENTICE AUCTIONEER'S AFFIDAVIT ASBA FORM 6B**

As an Apprentice Auctioneer, I hereby certify my acceptance of and compliance with the following regulations governing my apprenticeship:

1. I understand that my Sponsoring Auctioneer must directly supervise any auction sale that I conduct and that he/she must be present at the auction sale site. In the event my Sponsoring Auctioneer cannot attend a particular sale, he/she must make a written appointment of a qualified, licensed Auctioneer to act in his/her stead at that sale in compliance with the Board's requirements and file the appointment with the Board office in advance of the sale.
2. I understand that I may not call bids at an auction unless my Sponsoring Auctioneer or his/her designee is present at the auction.
3. I understand that I may not enter into an agreement to conduct an auction without my Sponsoring Auctioneer's express written consent noted on the document; I may sign a contract in order to indicate that I procured the client and the auction.
4. I understand that any advertisement for an auction sale must clearly and conspicuously identify the name and ASBA license number of my Sponsoring Auctioneer in equal prominence with my name and state license number, if I will be conducting auction business.
5. I acknowledge and understand that any and all funds I receive from auction sales must be maintained in my Sponsoring Auctioneer's escrow account and that the Sponsoring Auctioneer is solely responsible for keeping the account of and dispersing any and all monies received at auction. I further understand that I may not sign a closing statement or have an escrow account in my name as a depository for auction proceeds; however, I may take part in the closing and settlement proceedings of an auction.
6. I understand that both I and my Sponsoring Auctioneer are required to maintain current bonds as required under Alabama law.
7. I understand that my license must remain in my Sponsoring Auctioneer's possession and that my license remains valid only so long as I remain under my Sponsoring Auctioneer's employment or supervision. In the event I am discharged/terminated from my employment with my Sponsoring Auctioneer, I understand that my Sponsoring Auctioneer will immediately deliver my license to the Board office or mail it to the Board office by First Class or Certified Mail, together with the written notice of termination, within thirty days of the termination of my sponsorship. I understand that I may not conduct any auction business or perform any acts under my license until I obtain a new Sponsoring Auctioneer and a new license bearing the name and address of the new Sponsoring Auctioneer.
8. I understand that in order to meet the requirements for licensure as an Auctioneer, I must serve at least two years under the Sponsoring Auctioneer and have been the principal auctioneer in at least ten auctions of real or personal property during my apprenticeship. If I completed the prescribed course of study at an accredited auctioneer school approved by the Board, I must serve a one-year apprenticeship and must have been the principal auctioneer in at least five or more auctions of real or personal property during my apprenticeship.
9. When I have completed the required number of months as an Apprentice and conducted the required number of sales, I understand that, along with the list of required auctions, I must submit proof that my Sponsoring Auctioneer's name was included on the advertisements for all such auctions and copies of cancelled checks showing that the auction funds went through the escrow account of my Sponsoring Auctioneer.
10. I understand that an Apprentice Auctioneer cannot be named as the principal auctioneer for any auction company.

11. I understand that while I am an Apprentice, I may be employed by another licensed auctioneer (other than the Sponsoring Auctioneer) if I notify the Board of such employment, as required by the Board office. The notification must include my Sponsoring Auctioneer's written agreement and the agreement of the non-sponsoring employer auctioneer to assume the duties of the Sponsoring Auctioneer during the term of employment. The form must be signed by me, the Sponsoring Auctioneer and the non-sponsoring employer auctioneer. The non-sponsoring employer auctioneer must meet the same requirements as the Sponsoring Auctioneer, including being currently licensed as an auctioneer in good standing in the state of Alabama and a resident of this state.

12. I acknowledge and understand that my failure to abide by these requirements and any other applicable laws or Board rules may result in disciplinary action being taken against me and/or my Sponsoring Auctioneer, including, but not limited to, the suspension or revocation of either license.

13. I understand that the revocation of my Sponsoring Auctioneer's license will automatically suspend my Apprentice Auctioneer license. I may retain my license by transferring to the employment of another licensed Auctioneer within twenty-one days.

If asked to do so, I agree to appear in person before the Alabama State Board of Auctioneers in connection with my application.

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Name of Apprentice Auctioneer Applicant

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Business Name and Address

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City, State, Zip

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Phone/Fax

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Email Address

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Signature of Apprentice Auctioneer Applicant

Subscribed and sworn before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

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Notary Public

My Commission Expires \_\_\_\_\_

(Notary Seal)